Approved for use through 07/31/2006, OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid QMB control number.

Substitute for form 1449/PTO

Sheet 1

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known				
Application Number	unknown			
Filing Date	unknown			
First Named Inventor	CHIEN, Kenneth			
Art Unit	unknown 632			
Examiner Name	unknown SGAGIAS,M			
Attorney Docket Number	6627-P9025D			

U. S. PATENT DOCUMENTS Examiner Cite **Document Number** Publication Date Name of Patentee or Pages, Columns, Lines, Where MM-DD-YYYY Applicant of Cited Document Relevant Passages or Relevant Number-Kind Code² (F Issuer) Figures Appear ^{US-} 5,634,895 MKS 1 06-03-1997 IGO et al 2 ^{US-} 5.797,870 08-25-1998 MARCH et al US- 5,827,216 10-27-1998 IGO et al 3 4 US- 5,931,810 08.03-1991 **GRABEK** ^{US-}6,100,242 5 08-08-2000 HAMMOND et al UK US US-US-US-ŪS 115 US US-US-US-115. US-US-

FOREIGN PATENT DOCUMENTS						
Examiner Cite Foreign Patent Document Initials* No. Country Code* Number* 'Kind Code* (if known)		Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages		
	MM-DD-YYYY		Or Relevant Figures Appear	T⁰		
•	١.					
						Г
			·			
						Г
				·		Т

Examiner Signature	Mysouris	Date Considered	11/	20/0	<u> </u>
*EXAMINER:	Initial if reference considered, whether or not/citation is in conformance with MPEP 609. Draw	w line through	citation if n	ot in conforms	ance and r

*EXAMINER: Initial if reference considered, whethey or not (citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Occuments at www.usnto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language

Transation is attached.
This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

PTC/SB/08B (08-03)
Approved for use through 07/31/2006, OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449/PTO	re required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known			
	Applicati n Number	unknown		
INFORMATION DISCLOSURE	Filing Dat	herewith		
STATEMENT BY APPLICANT	First Named Inventor	Kenneth, CHIEN		
(Use as many sheets as necessary)	Art Unit	unknown 1632		
(200 20 1111) (210 20 110 20 20 110 20 20 20 20 20 20 20 20 20 20 20 20 20	Examiner Name	unknown SGAGIAS M		
Sheet 2 of 2	Attorney Docket Number	6627-P9025DIV		

			<u> </u>	
		NON PATENT LITERATURE DOCUMENTS		
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		
Mods	6	DONAHUE et al, Ultrarapid, highly efficient viral gene transfer to the heart, Medical Sciences, Vol, 94 oo, 4664-4668-April 1997, Baltimore		
MKS	7	DZAU et al, Fusigenic viral liposome for gene therapy in cardiovascular diseases, Proc. Natl. Academy Sci, USA, Vol. 93 pp.11421-11425, October 1996		
MV3	8	HAJJAR et al, Modulation of ventricular function through gene transfer in invivo, Proc. Natl. Acad. Sci., USA, Vol 95, pp. 5251-5256 April 1998, Medical Sciences, MA		
MY	9	REBOLLEDO et al, Infection of Human Fetal Cardiac Myocytes by a Human Immundodeficiency Virus-1, Dept. of Pediatrics, UCLA School of Medicine, L.A., CA, October 5,1998		
	•			

			· ·
Examiner	Malyne	Date	11/20/05
Signature		Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant,

considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to piace a check mark here if English language Translation is attached.
This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.